

UC **SANTA BARBARA**

Office of Student Conduct

Division of Student Affairs

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# UC Santa Barbara Interim Student Conduct Code



Effective January 1, 2026

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INTRODUCTION

This *Student Conduct Code* is taken from Chapter VIII of the University of California, Santa Barbara *Campus Regulations*. The complete *Campus Regulations* can be reviewed online at: <http://www.sa.ucsb.edu/regulations>.

10.00 PREAMBLE AND GENERAL PROVISIONS

In carrying out its teaching, research, and public service activities, the University has an obligation to maintain conditions under which the work of its members—the faculty, students and staff—can go forward freely, in accordance with the highest standards of excellence, institutional integrity, and freedom of expression, and with full recognition by all concerned of the rights, privileges, and responsibilities of those who comprise the University community.

10.10

These *Policies*<sup>1</sup> address the rights and responsibilities of members of the University community as well

<sup>1</sup> "Policies" in this document collectively refers to: the UCSB [Campus Regulations](#); the UCSB [Student Conduct Code](#); and the UC [Policies Applying to Campus Activities, Organizations, and Students](#).

as others while on University property. These Policies also address the responsibilities of students as defined herein and provide University-wide standards for campus implementing regulations as a means of sustaining this community.

Each member of the University shares in the responsibility for maintaining this unique community so that the University's mission of teaching, research, and public service can be achieved.

These Policies are designed to protect and promote the rights of members of the University, prevent interference with University functions or activities, and assure compliance with all pertinent laws and other applicable University policies.

## 12.00 APPLICABILITY

These Policies and the campus regulations implementing them apply to all campuses and properties of the University and to functions administered by the University, unless in special circumstances the President directs otherwise. Campus implementing regulations for these Policies extend their application to cover student conduct that occurs off-campus as described in Section 101.00 of this document.

### 12.10

These Policies do not apply where inconsistent with the Higher Education Employer-Employee Relations Act (HEERA) or applicable collective bargaining agreements.

## 13.00 REPORTING INCIDENTS

### 13.10 Reporting incidents of alleged crimes, including, but not limited to theft, vandalism and assault

Theft, vandalism, assault and any other conduct believed to constitute a crime may be reported directly to the [UCSB Police Department](#) or to the [Office of Student Conduct](#). Any situation of this type that occurs in University Housing may also be reported to Residential & Community Living. Such violations may lead to criminal prosecution, student disciplinary processes, or both. Such violations may be heard by the Student-Faculty Committee on Student Conduct or the University Housing Hearing Board, Hearing Officers, or administratively, as outlined in this chapter. (Note: In cases where criminal prosecution is involved, the campus investigatory process may be deferred until it would no longer interfere with the criminal justice process. However, student conduct cases will not be delayed indefinitely for the results of a criminal justice process. In instances when the health or safety of the individual or members of the University community are involved, the campus disciplinary process will be implemented immediately upon notification of the charges.)

### 13.20 Reporting incidents of Sexual Violence, Sexual Harassment, and Other Prohibited Behavior

Incidents of alleged sexual harassment (including sex-based conduct), sexual violence, or relationship violence on or off campus may be reported by the survivor if they so choose for criminal prosecution or confidential reporting to the appropriate law enforcement agency and/or to the [Office of Title IX Compliance and Discrimination and Harassment Prevention](#) ("Title IX/DHP Office"). "Responsible Employees" of the University must promptly report any knowledge of sexual harassment, sexual violence, or relationship violence involving a UCSB student to the Title IX/DHP Office. Confidential support resources are available to anyone impacted by sexual violence or sexual harassment. For more information, please visit: <https://titleix-dhp.ucsb.edu/>.

### 13.30 Reporting incidents of discrimination and harassment

Incidents of alleged discrimination and harassment should be reported to the [Title IX/DHP Office](#). UCSB will respond promptly and equitably to reports of prohibited conduct under the *Anti-Discrimination Policy* ("AD Policy"). If a "Responsible Employee" learns, in the course of employment, that any individual affiliated with the University may have experienced Prohibited Conduct, as defined in the *AD Policy*, the Responsible Employee must promptly notify the Title IX/DHP Office. Reports can be made online at <https://uctitleix.i-sight.com/portal/Santa%20Barbara>.

#### **13.40 Reporting incidents of alleged time, place, and manner violations**

Incidents of alleged violations of regulations concerning the time, place, and manner of the use of University grounds, buildings, or other facilities by individuals and campus groups may be reported to the Office of Student Conduct, which may refer the case to the Student-Faculty Committee on Student Conduct or to Student Engagement and Leadership.

#### **13.50 Reporting incidents that occur in University Housing**

Incidents of alleged violations of campus regulations within University Housing as well as violations of conditions in the housing contract shall be reported to Residential & Community Living.

#### **13.50 Reporting incidents involving Registered Campus Organizations**

Incidents of alleged misconduct involving Registered Campus Organizations must be submitted to the Dean of Students Office and the Office of Student Conduct at:

[https://ucsb-advocate.symlicity.com/public\\_report/](https://ucsb-advocate.symlicity.com/public_report/).

#### **13.70 Reporting all other alleged violations**

All other alleged violations of University regulations including cheating, plagiarism, or other clearly inappropriate behavior by a student should be reported to the Office of Student Conduct for investigation and determination of further action at:

[https://ucsb-advocate.symlicity.com/public\\_report/](https://ucsb-advocate.symlicity.com/public_report/).

### **14.00 DEFINITIONS**

#### **14.10 Campus**

The term "campus" means the University of California, Santa Barbara campus. The term "campus" also applies to the Office of the President, the Division of Agriculture and Natural Resources, and each Department of Energy Laboratory operated by the University.

#### **14.20 Property**

The term "property" means any University-owned, -operated or -leased property, including all University grounds and structures or such other property as shall be designated by a campus as property subject to these Policies.

#### **14.30 Registered Campus Organization**

The term "Registered Campus Organization" means an organization whose membership predominantly comprises students, faculty, and/or staff of a particular University campus, and that attains recognition as a Registered Campus Organization by complying with the requirements and procedures established by [Student Engagement and Leadership \(SEAL\)](#) and set forth in Section 70.10 of the PACAOS.

#### **14.40 Student**

The term "student" means an individual for whom the University maintains student records and who: (a) is enrolled in or registered with an academic program of the University (including summer session and concurrent enrollment through PACE); (b) has completed the immediately preceding term, is not presently enrolled, and is eligible for re-enrollment; or (c) is on an approved educational leave or other approved leave status, or is on filing-fee status.

#### **14.50 University**

The term "University" means the University of California and includes all campuses, the Office of the President, the Division of Agriculture and Natural Resources, and each Department of Energy Laboratory operated by the University.

#### **104.60 Preponderance of Evidence**

The term "preponderance of evidence" refers to the standard of proof that requires that a fact be found when its occurrence, based on the evidence, is more likely than not.

### **101.00 STUDENT CONDUCT & JURISDICTION**

Students are members of both society and the University community, with attendant rights and responsibilities. Students are expected to comply with all laws and with University policies and campus regulations.

The standards of conduct apply to students as the term 'student' is defined in Section 14.40 of these Policies. They also apply to: applicants who become students, for policy violations committed as part of the application process; applicants who become students, for policy violations committed on campus and/or while participating in University-related events or activities that take place following a student's submittal of the application through their official enrollment; and former students for offenses committed while a student.

These standards of conduct apply to conduct that occurs off campus and that would violate student conduct and discipline policies or regulations if the conduct occurred on campus. Jurisdiction is extended to off-campus conduct where the behavior adversely affects the health and safety of the campus community. In determining whether to exercise jurisdiction, the University will consider the seriousness of the alleged offense, the risk of harm involved, and/or whether the victim(s) are members of the campus community as described in section 101.02 of this document.

#### **101.01 Extension of University Jurisdiction**

The University reserves the right to exercise jurisdiction over student conduct that violates campus regulations and occurs in privately operated off-campus residence halls. Jurisdiction will extend only to privately operated residence halls that request to have University jurisdiction apply to UCSB students living in their building(s). Students must be advised at the time they enter into their housing contracts of any such University jurisdiction. To qualify for jurisdiction, residence halls must have in place policies and an internal judicial structure that parallel closely the current policies and judicial structure of University-owned, on-campus housing.

The Vice Chancellor for Student Affairs, in consultation with the Associate Vice Chancellor of Housing, Dining & Auxiliary Enterprises, will determine which off-campus entities seeking to be included in campus jurisdiction qualify based on the above criteria. The status of these residence halls will be reviewed by the

Vice Chancellor for Student Affairs and Associate Vice Chancellor of Housing, Dining & Auxiliary Enterprises every four years, or more frequently if needed, to assess their adherence to the criteria for inclusion in jurisdiction; jurisdiction may be revoked at any time.

### **101.02 Other Areas of University Jurisdiction**

In addition to privately-operated residence halls, the University may exercise jurisdiction over student conduct that occurs off University property that would violate student conduct and discipline policies or regulations if the conduct occurred on campus. In considering whether to exercise off-campus jurisdiction in an individual case, the following may be considered: the seriousness of the alleged misconduct, whether a crime has been reported to the criminal authorities, the risk of future harm involved, whether the off-campus conduct is part of a series of actions that occurred both on and off campus, and the ability of the University to gather evidence including the testimony of witnesses. Any extension of jurisdiction will be reviewed by the Director of the Office of Student Conduct, the Dean of Students to which the Office of Student Conduct reports, and the Vice Chancellor for Student Affairs. The Director of the Office of Student Conduct will provide on a quarterly basis a report to the Student-Faculty Conduct Committee on the number and types of cases in which extension of jurisdiction was imposed.

### **101.03 Campus Regulations Applying to Off-Campus Behavior**

Campus regulations applying to off-campus behavior include: Section 102.08 (physical abuse, threats of violence, arson-related offenses, or any conduct that threatens the health or safety of any persons), Section 102.09 (harassment), Section 102.10 (stalking), and Section 102.12 (hazing).

As described in the UC [Policy on Sexual Violence and Sexual Harassment](#), the University also maintains extension of jurisdiction for any act of sexual harassment (including sex-based conduct) or sexual violence committed by a UC student on University property; in connection with University employment or in the context of a University employment or education program, or activity (including, for example, University-sponsored study abroad, research, on-line courses, health services, or internship programs); or off University property and outside the context of a University employment or education program, or activity, but has continuing adverse effects on—or creates a hostile environment for students, employees or third parties while on University property or in any University program or activity.

As described in the UC [Anti-Discrimination Policy](#), the University also maintains an extension of jurisdiction for any acts of Prohibited Conduct under this policy allegedly committed by University students, including applicants who become students and former students, on University property; or in connection with University employment (including, for example, remote-work environments); or in the context of a University program or activity (including, for example, University-sponsored study abroad, research, online courses, health services, or internship programs).

### **101.04 Policies Applying to Registered Campus Organizations**

Registered Campus Organizations are required to comply with University policies and campus regulations (including the prohibited conduct outlined in section 102.00 of this document), as well as applicable laws or they will be subject to revocation of registration, loss of privileges, or other sanctions.

### **101.05 Disciplinary Authority of the Faculty**

In suspected instances of student conduct violations (behavioral or academic) an instructor may request assistance or an investigation of the matter by the Office of Student Conduct. In academic violations, faculty have discretion in whether to request an advisory notice or a formal review by the Student-Faculty

Committee on Student Conduct. The response to classroom-related misconduct will be resolved as noted below. Please refer to <http://studentconduct.sa.ucsb.edu> for further information and assistance.

a. Classroom Behavior

After notifying the student of the questionable/offending conduct and giving them the opportunity to respond and/or the opportunity to correct the behavior, an instructor may exclude from class, either temporarily or permanently, any student whose conduct is disruptive toward the instructor or other members of the class. The instructor of record for the class shall take the following action:

- i. Provide oral or written notice to the student indicating the reason the student is being disciplined and the possible consequences.
- ii. Notify their department Chair for review of the matter and, in cases where the student is being permanently excluded from the class, inform the appropriate Dean (Dean of Undergraduate Studies in the College of Letters and Science, Dean of Undergraduate Studies in the College of Engineering, Dean of the College of Creative Studies, Dean of the Graduate Division).
- iii. Report on-line the misconduct and disciplinary steps taken to the [Office of Student Conduct](#).
- iv. If the Office of Student Conduct determines that formal University disciplinary action should be taken (in lieu of, or in addition to, exclusion from the class), the instructor will need to provide a description of the incident in sufficient detail to permit the Office to investigate, interview witnesses, and, if necessary, prepare the case for a hearing.

b. Academically Related Conduct

Faculty are guided by the Academic Senate policy on reporting academic misconduct ([Regulations of the Division 90. Student Academic Integrity](#)). In summary, faculty:

- i. Must inform the student(s) of their concern either in writing or in person and provide the student(s) an opportunity to respond.
- ii. Shall notify the Office of Student Conduct and recommend any further action or disposition of the case (e.g., letter of reprimand, conduct hearing).
- iii. Shall withhold the student's grade until the committee has rendered a decision and the instructor has been notified of the outcome (if a conduct hearing is requested). The instructor shall report the student's grade in the course, after considering the committee's findings.

c. Appeal of Faculty-Implemented Action

This refers to faculty-implemented action that does not result in a formal hearing before the Student-Faculty Committee on Student Conduct (e.g., exclusion from class or letter of admonition for academic misconduct). The following does not apply to grade appeals. (See [Regulation 25](#) in the UCSB General Catalog to appeal grades.)

- i. A student may appeal the action taken by the faculty by submitting a written appeal to the department Chair with a copy to the appropriate Dean (Dean of Undergraduate Studies in the College of Letters and Science, Dean of Undergraduate Studies in the College of Engineering, Dean of the College of Creative Studies, Dean of the Graduate Division). The Chair may either make a decision on the appeal or refer the matter to the Student-Faculty Committee on Student Conduct for an advisory opinion. (If the department Chair is the instructor involved in the case, the letter of appeal should be forwarded to the appropriate Dean. If the Dean or other administrator is the instructor, the appeal is made to the Executive Vice Chancellor.) If the case is referred to the Student-Faculty Committee on Student Conduct, it will review the evidence and either concur with

the sanction imposed by the instructor or recommend a change to the department Chair and the instructor, listing the reasons for its recommendation.

- ii. If referred to the Student-Faculty Committee on Student Conduct for an advisory opinion, the Committee shall report its findings to the Chair, within five working days of its review of the matter, with a copy to the appropriate Dean. The final decision rests with the department Chair.

## 102.00 PROHIBITED CONDUCT

The Chancellor, or designee, may impose discipline for the commission or attempted commission (including aiding and abetting in the commission or attempted commission) of the following types of violations by students of University policies or campus regulations. Such violations, include the following types of misconduct:

### 102.01

All forms of academic misconduct including but not limited to cheating, fabrication, plagiarism, altering graded examinations for additional credit, having another person take an examination for you, providing or writing a term paper for another student, or other facilitation of academic dishonesty.

### 102.02

Other forms of dishonesty including but not limited to fabricating information, furnishing false information to the University either on official University forms or to University officials acting in the performance of their duties, or reporting a false emergency to the University.

### 102.03

Forgery, alteration, or misuse of University any document, record, key, electronic device, or identification.

### 102.04

Theft of, conversion of, destruction of, or damage to any property of the University, or any property of others while on University premises, or possession of any property when the student had knowledge or reasonably should have had knowledge that it was stolen.

### 102.05

Theft or abuse of University computers and other University electronic resources such as computer and electronic communications facilities, systems, and services. Abuses include (but are not limited to) unauthorized entry, use, transfer, or tampering with the communications of others; interference with the work of others and with the operation of computer and electronic communications facilities, systems and services; or copyright infringement (for example, the illegal file-sharing of copyrighted materials).

Use of University computer and electronic communications facilities, systems, or services that violate other University policies or campus regulations.

Please refer to the [Electronic Communications Policy](#) and [Digital Copyright Protection](#) for the University's position on digital copyright.

### 102.06

Unauthorized entry to, possession of, receipt of, or use of any University services; equipment, resources, or properties, including the University's name, insignia, or seal.



### 102.07

Violations of policies, regulations, contracts, or rules governing residence in University-owned or -operated housing facilities or leased housing facilities located on University property (see University Housing contract).

### 102.08

Physical abuse including but not limited to physical assault; threats of violence; or other conduct that threatens the health or safety of any person. Such abuse, threats, or conduct may include arson (and other penal or health code violations such as setting public fires).

Sexual Violence (including Sexual Assault-Penetration, Sexual Assault-Contact, Relationship Violence, Stalking, and Sexual Exploitation) is defined by the University of California [Policy on Sexual Violence and Sexual Harassment](#). Please refer to 102.26.

### 102.09

Harassment, defined as conduct that is so severe and/or pervasive, and objectively offensive, and that so substantially impairs a person's access to University programs or activities that the person is effectively denied equal access to the University's resources and opportunities.

Harassment based on a protected category is defined by the University of California *Anti-Discrimination Policy*. Pursuant to section 104.90, sanctions may be enhanced for conduct motivated on the basis of the protected categories defined in the University of California [Anti-Discrimination Policy](#). Please refer to 102.27

Sexual Harassment, which includes other sex-based harassment, is defined by the University of California [Policy on Sexual Violence and Sexual Harassment](#). Please refer to 102.26.

### 102.10

Stalking behavior in which a student repeatedly engages in a course of conduct directed at another person and makes a credible threat with the intent to place that person in reasonable fear for their safety, or the safety of their family; where the threat is reasonably determined by the University to seriously alarm, torment, or terrorize the person; and where the threat is additionally determined by the University to serve no legitimate purpose.

Stalking of a sex-based nature is defined by the University of California [Policy on Sexual Violence and Sexual Harassment](#). Please refer to 102.26.

### 102.11

[Intentionally omitted by UCSB, rescinded by UCOP October 9, 2009]

### 102.12

Participation in hazing or any intentional, knowing, or reckless act, activity, or method committed by a person (whether individually or in concert with other persons) against another person or persons, including current, former, or prospective students, regardless of the willingness of such other person or persons to

participate, that is committed in the course of a preinitiation, an initiation into, an affiliation with, or the maintenance of membership in, an official or unofficial student organization or other student group that

- (I) causes or creates a risk, above the reasonable risk encountered in the course of participation in the institution of higher education or the organization (such as the physical preparation necessary for participation in an athletic team), of physical or psychological injury, including personal degradation or disgrace, and/or
- (II) the person knew or should have known was likely to cause serious bodily injury.

#### **102.13a**

Obstruction or disruption of teaching, research, administration, disciplinary procedures, or other University activities.

#### **102.13b**

Intimidation or harassment directed toward any person on University property or in connection with official functions or University-sponsored programs (UCSB only).

#### **102.14**

Disorderly and/or lewd conduct.

#### **102.15**

Participation in a disturbance of the peace or unlawful assembly.

#### **102.16**

Failure to identify oneself, or comply with directions of, a University official or other public official acting in the performance of their duties while on University property or at official University functions, or resisting or obstructing such University or other public officials in the performance of or the attempt to perform their duties.

#### **102.17**

Unlawful manufacture, distribution, dispensing, possession, use, or sale of, or the attempted manufacture, distribution, dispensing, or sale of controlled substances, identified in federal and state law or regulations.

#### **102.18**

Manufacture, distribution, dispensing, possession, use, or sale of, or the attempted manufacture, distribution, dispensing, or sale of alcohol that is unlawful or otherwise prohibited by, or not in compliance with, University policy or campus regulations.

#### **102.19**

Possession, use, storage, or manufacture of explosives, firebombs, or other destructive devices.

#### **102.20**

Use, possession, sale, or manufacture of dangerous weapons or a firearm, except as expressly permitted by law.

#### **102.21**

Violation of a no contact order, no contact directive, or the conditions contained in the terms of a disciplinary action imposed under these campus regulations or University policies.

#### **102.22**

Violation of the conditions contained in a written Notice of Emergency Suspension issued pursuant to [Section 53.00](#) of PACAOS or violation of orders issued pursuant to [Section 52.00](#) of PACAOS, during a declared state of emergency.

#### **102.23**

Selling, preparing, or distributing for any commercial purpose course lecture notes or video or audio recordings of any course unless authorized by the University in advance and explicitly permitted by the course instructor in writing. The unauthorized sale or commercial distribution of course notes or recordings by a student is a violation of these Policies whether or not it was the student or someone else who prepared the notes or recordings.

Copying for any commercial purpose handouts, readers or other course materials provided by an instructor as part of a University of California course unless authorized by the University in advance and explicitly permitted by the course instructor or the copyright holder in writing (if the instructor is not the copyright holder).

#### **102.24**

Conduct, where the actor means to communicate a serious expression of intent to terrorize, or acts in reckless disregard of the risk of terrorizing, one or more University students, faculty, or staff. 'Terrorize' means to cause a reasonable person to fear bodily harm or death, perpetrated by the actor or those acting under their control. 'Reckless disregard' means consciously disregarding a substantial risk. This section applies without regard to whether the conduct is motivated by race, ethnicity, personal animosity, or other reasons. This section does not apply to conduct that constitutes the lawful defense of oneself, of another, or of property.

#### **102.25**

Making a video recording, audio recording, taking photographs, or streaming audio/video of any person in a location where the person has a reasonable expectation of privacy, without that person's knowledge and express consent.

Looking through a hole or opening, into, or otherwise viewing, by means of any instrumentality, the interior of a private location without the subject's knowledge and express consent.

Making a video recording, audio recording, or streaming audio/video of private, non-public conversations and/or meetings, without the knowledge and express consent of all recorded parties.

These provisions do not extend to public events or discussion, nor to lawful official law or policy enforcement activities. These provisions may not be utilized to impinge upon the lawful exercise of constitutionally protected rights of freedom of speech or assembly.

#### **Definitions**

"Express consent" is clear, unmistakable and voluntary consent that may be in written, oral or nonverbal form.

"Private locations" are settings where the person reasonably expected privacy. For example, in most cases the following are considered private locations: residential living quarters, bathrooms, locker rooms, and personal offices.

"Private, non-public conversations and/or meetings" include any communication carried on in circumstances that reasonable indicate that any party wants the communication to be confined to the parties, but excludes a communication made in a public gathering, or in any other circumstance in which the parties to the communication may reasonably expect that the communication may be overheard or recorded.

Invasions of Sexual Privacy are defined by the University of California [\*Policy on Sexual Violence and Sexual Harassment\*](#). Please refer to 102.26.

#### **102.26**

Violation of the University of California *Policy on Sexual Violence and Sexual Harassment*.

#### **102.27**

Violation of the University of California *Anti-Discrimination Policy*.

### **103.00 STUDENT CONDUCT INVESTIGATION AND RESOLUTION PROCEDURES**

#### **103.10 Procedural Due Process**

Procedural due process is basic to the proper enforcement of University policies and campus regulations. Students who are subject to University discipline shall be afforded procedural due process.

The primary purpose of any University disciplinary proceeding is to determine whether an accused student is responsible for a violation of the UCSB *Student Conduct Code*.

A procedural deviation shall not invalidate the findings of a hearing body unless that deviation significantly impacted the outcome of the proceeding.

#### **103.11**

The specific procedures for student conduct investigations and resolution are provided in the UCSB *Student Conduct Investigation and Resolution Framework*, except as provided below.

#### **103.12**

When a formal investigation is deemed appropriate for cases involving reports of Sexual Violence, Sexual Harassment or other prohibited conduct by the UC *Policy on Sexual Violence and Sexual Harassment*, UCSB will implement the procedures set forth in *PACAOS Appendix E: Sexual Violence and Sexual Harassment Student Adjudication Framework for Non-DOE-Covered Conduct* or *PACAOS Appendix F: Sexual Violence and Sexual Harassment Student Adjudication for DOE-Covered Conduct*. UCSB may also apply *PACAOS Appendix E* or *PACAOS Appendix F* to adjudicate student conduct violations that occur in connection with violations of sexual violence and sexual harassment.

### 103.13

For cases involving harassment or other prohibited conduct by the University of California *Anti-Discrimination Policy*, UCSB will implement the procedures set forth in the University of California *Anti-Discrimination Policy*.

### 103.14

For cases involving alleged misconduct by a Registered Campus Organization, UCSB will implement the procedures set forth in Chapter VII of the UCSB [Campus Regulations](#).

### 103.15

For cases involving alleged violations of University Housing policies, the Residential & Community Living Student Conduct Office includes the Director of Student Conduct, Assistant Director of Student Conduct, and Conduct Officers who may address these matters. UCSB may adjudicate these cases in the following manner.

- a. The University Housing Hearing Board/Hearing Officers shall hear all cases (have original jurisdiction) arising from violations of Section 102.07 (all policies, rules and regulations contained in University Housing contracts, etc.)
- b. Cases that involve violations of Section 102.07 and another section in the 102.00 series and are serious enough to invoke a penalty of suspension or dismissal from the University shall be referred to the Office of Student Conduct for possible adjudication.
- c. Procedures:
  - i. The rights and responsibilities of the student, their advisor, the provision for and restrictions on witnesses, and the decision and appeal processes for the University Housing Hearing Board/Hearing Officers are identical to those enumerated in Chapter VIII of the [UCSB Campus Regulations](#) with the following exception: Associate Vice Chancellor of Housing, Dining & Auxiliary Enterprises (or designee), may take immediate administrative action in cases where a student is determined to present an immediate and serious disruption to the residential community before a formal hearing can be scheduled. Unlike the provision for interim action (Section 107.00), this process is limited to immediate action on University Housing contracts, relocation to other living arrangements, and limiting access to residential facilities. The Vice Chancellor for Student Affairs shall be notified of all interim actions within 24 hours. There is no appeal of an immediate action; if required, a hearing will be conducted in a timely fashion. If a student is found to have been unjustifiably subjected to an immediate action, the University is committed to a policy whereby that student shall not be disadvantaged in employment or housing status.
  - ii. Any proposed changes to policies contained in the University Housing contract must be approved by the Associate Vice Chancellor of Housing, Dining & Auxiliary Enterprises.

### 103.16

Cases may be reviewed by the Admissions Review Committees when currently enrolled students (graduates and undergraduates) are found to have omitted or falsified information on their application for admission, and/or failed to meet conditions of admission. (Prospective students who have committed any of these acts prior to matriculation are subject to cancellation by the Admissions Office or Graduate Division.)

The committee for undergraduate violations will be chaired by the Associate Director of Admissions and include at least one other Admissions staff member and the Director of the Office of Student Conduct, or their designee. The committee for graduate violations will be chaired by the Assistant Dean of the Graduate Division and include the Director of Graduate Admission and Outreach, the Chair or designee of the academic department of the responding student and the Director of the Office of Student Conduct. The student will have the opportunity to present their case to the appropriate committee, which shall decide the course of action. The committee may decide on remedial action, refer the case to the Student-Faculty Committee on Student Conduct for adjudication, or recommend to the Director of Admissions or Graduate Division Dean that the student's admission be revoked. The student shall be notified of the committee's recommendation within three working days of the hearing. If the Director of Admissions or Dean of the Graduate Division revokes admission, s/he shall inform the student within five working days. Undergraduate students may appeal the action of the Director of Admissions to the Vice Chancellor for Student Affairs, or designee, within five working days. The Vice Chancellor, or designee, has ten working days to respond to the undergraduate appeal.

Graduate students may appeal the action of the Graduate Dean within five working days by submitting a written request that the Academic Senate's Graduate Council consider an appeal. The appeal can be based only on the existing record. All relevant information will be forwarded to the Graduate Council, and the Graduate Dean will inform the student that the appeal has been referred to Graduate Council for further review. Within 60 days of the referral from the Dean, Graduate Council will issue a written recommendation that either the Graduate Dean's decision be overturned or that the Dean's decision should stand. Taking Graduate Council's recommendation into consideration, the Graduate Dean will respond to the student in writing within 7 days, upholding or overturning the revocation of admission.

## **104.00 ADMINISTRATION OF DISCIPLINE**

### **104.10**

UCSB may impose discipline for violations of University policies or campus regulations whether or not such violations are also violations of law, and whether or not proceedings are or have been pending in the courts involving the same acts.

### **104.20**

The Chancellor may appoint faculty, student, or other advisory committees, or hearing officers, as specified in campus regulations, but the final authority for administration of student discipline rests with the Chancellor.

### **104.30**

A student, as defined in Section 14.40 of these Policies, at one campus of the University, who is accused of violation of University policies or campus regulations on another campus of the University or at an official function of that campus, shall be subject to the disciplinary procedures of either the former or the latter campus as an outcome of conferral between designees of both campuses. The imposition of any recommendations for disciplinary sanctions arising from these procedures must be reviewed and approved by both campuses before the sanctions are imposed.

### **104.31**

If an alleged violation of University policies occurs in connection with an official University-wide function not on a campus, the student accused of the violation shall be subject to the disciplinary procedures of

the campus at which the individual is a student, except in those cases in which the President directs otherwise.

#### **104.40**

The loss of University employment shall not be a form of discipline under these Policies. However, when student status is a condition of employment, the loss of student status will result in termination of the student's employment. This section is not intended to preclude the disclosure to other appropriate University officials of information relating to any student's disciplinary records if that information may be reasonably construed to have bearing on the student's suitability for a specific employment situation. This section is also not intended to preclude an employer from terminating a student's employment outside the disciplinary process.

#### **104.50**

In imposing discipline other than Suspension or Dismissal, access to housing and health services shall not be restricted unless the act that occasioned the discipline is appropriately related to the restriction.

#### **104.60**

If as a result of an official campus appeal it is determined that the student was improperly disciplined, the Chancellor shall, if requested by the student, have the record of the hearing sealed, and have any reference to the disciplinary process removed from the student's record. In such case, the record of the hearing may be used only in connection with legal proceedings. The Chancellor also may take other reasonable actions to ensure that the status of the student's relationship to the University shall not be adversely affected.

#### **104.70**

[Intentionally omitted by UCSB, rescinded by UCOP January 1, 2015]

#### **104.71**

[Intentionally omitted by UCSB, rescinded by UCOP October 13, 2005]

#### **104.80**

Whether or not a hearing is conducted, UCSB may provide written notice to a student that their alleged behavior may have violated University policy or campus regulations and that, if repeated, such behavior will be subject to the disciplinary process. Evidence of the prior alleged behavior as detailed in the written notice may be introduced in a subsequent disciplinary action in order to enhance the penalty.

#### **104.81**

UCSB may place holds on requests for transcripts, diplomas, or other student records when a student fails to respond to a written notice of charges or to prevent a student from transferring or having their degree conferred until all allegations against a student or any assigned sanctions and student disciplinary conditions have been fully resolved.

Per the UCSB Academic Senate Regulations Section 5,175,A,5 candidates for a degree must be in compliance with the UCSB *Student Conduct Code*. The Office of Student Conduct may request a hold on the conferral of a degree by the Office of the Registrar until a pending student conduct matter is resolved.

Students will be notified of the hold, the conditions in which a hold will be removed, and the process for allowing the student to request the removal of the hold.

#### **104.90**

Sanctions [for any violations of Section 102.00, Prohibited Conduct] may be enhanced where an individual was selected because of the individual's membership or perceived membership in any of the protected categories defined by the University of California *Anti-Discrimination Policy*.

### **105.00 TYPES OF STUDENT DISCIPLINARY ACTION**

When a student is found in violation of University policies or campus regulations, any of the following types of student disciplinary action may be imposed. Any sanction imposed should be appropriate to the violation, taking into consideration the context and seriousness of the violation.

#### **105.01 Warning**

Written notice or reprimand to the student that a violation of specified University policies or campus regulations has occurred and that continued or repeated violations of University policies or campus regulations may be cause for further disciplinary action, normally in the form of Disciplinary Probation, and/or Loss of Privileges and Exclusion from Activities, Suspension, or Dismissal.

#### **105.02 [Intentionally omitted by UCSB, rescinded by UCOP May 17, 2002]**

#### **105.03 Disciplinary Probation**

A status imposed for a specified period of time during which a student must demonstrate conduct that conforms to University standards of conduct. status imposed for a specified period of time during which a student must demonstrate conduct that conforms to University standards of conduct.

Conditions restricting the student's privileges or eligibility for activities may be imposed. Misconduct during the probationary period or violation of any conditions of the probation may result in further disciplinary action, normally in the form of Suspension or Dismissal.

#### **105.04 Loss of Privileges and Exclusion from Activities**

Exclusion from participation in designated privileges and activities for a specified period of time. Violation of any conditions in the written Notice of Loss of Privileges and Exclusion from Activities, or violations of University policies or campus regulations during the period of the sanction may be cause for further disciplinary action, normally in the form of Probation, Suspension or Dismissal.

#### **105.05 Suspension**

Termination of student status for a specified academic term or terms with reinstatement thereafter usually certain, provided that the student has complied with all conditions imposed as part of the suspension and provided that the student is otherwise qualified for reinstatement. Violation of the conditions of Suspension or of University policies or campus regulations during the period of Suspension may be cause for further disciplinary action, normally in the form of Dismissal.

A student may not transfer or register for courses at another campus or location of the University of California during the period of Suspension.



### **105.06 Dismissal**

Termination of student status for an indefinite period. Readmission to the University shall require the specific approval of the Chancellor of the campus to which a dismissed student has applied. Readmission after dismissal may be granted only under exceptional circumstances.

### **105.07 Exclusion from Areas of the Campus or from Official University Functions**

Exclusion of a student as part of a disciplinary sanction from specified areas of the campus or other University-owned, -operated, or -leased facilities, or other facilities located on University property, or from official University functions, when there is reasonable cause for the University to believe that the student's presence there will lead to physical abuse, threats of violence, or conduct that threatens the health or safety of any person on University property or at official University functions, or other disruptive activity incompatible with the orderly operation of the campus.

### **105.08 [Intentionally omitted by UCSB, rescinded by UCOP September 24, 2025]**

### **105.09 Restitution**

A requirement for restitution in the form of reimbursement may be imposed for expenses incurred by the University or other parties resulting from a violation of these policies. Such reimbursement may take the form of monetary payment or appropriate service to repair or otherwise compensate for damages. Restitution may be imposed on any student acting who alone, or through group or concerted activities, participates in causing the damages or costs.

### **105.10 Revocation of Awarding of Degree**

Subject to the concurrence of the Academic Senate, revocation of a degree obtained by fraud. Such revocation is subject to review on appeal by the Chancellor.

### **105.11 Other Disciplinary Actions**

Other disciplinary actions, such as monetary fines, community service, or holds on requests for transcripts, diplomas, or other student records to be sent to third parties, as set forth in campus regulations.

### **105.12 Other University Actions**

Other information University actions include, but are not limited to, alternative resolution, advisory notices, no contact directives, supplemental education or educational conversations.

#### **105.12a No Contact Directive**

A No Contact Directive (NCD) is a directive issued by a University official notifying a student not to have any further contact with an individual through any means whether in person or through any other means, including but not limited to, electronic communication or communication through third parties. A No Contact Directive (NCD):

- i. A No Contact Directive (NCD):
  - a. is an administrative action, not a disciplinary sanction, and does not constitute a disciplinary record.
  - b. is issued as determined by the Office of Student Conduct.
  - c. identifies a student as the recipient of the No Contact Directive and an individual as the requesting party.
  - d. can only be issued to individuals who are students as defined under these Policies.

- e. will take into consideration the needs and circumstances of each case and be issued to promote the safety and well-being of the campus community, to protect the involved parties, and protect the integrity of a conduct process or an investigation.
- f. The Office of Student Conduct will consider whether parties have a need to communicate based on their University roles. The Office of Student Conduct may provide a directive to limit party communication outside of their roles which require a need to communicate.
- g. May be issued as a unilateral or mutual directive as determined by the Office of Student Conduct
- ii. No Contact Directives may remain in place throughout the recipient's time as a student at UCSB unless amended or removed by the Office of Student Conduct.
- iii. A No Contact Directive is not a restraining order or protective order with force of law. It does not establish a minimum distance in which the parties must remain separated. The University may provide subsequent directives regarding entrances and seating arrangements when parties are required to be in close proximity.

### **105.13 Housing Probation**

A status imposed for a specified period during which a student's continued residency in university housing is contingent upon compliance with university policies and housing regulations. During housing probation, any further violation of university or housing policies may result in more serious disciplinary action, including removal from university housing. (See also Section 104.50.)

## **106.00 DISCIPLINARY RECORDS**

### **106.01**

When, as a result of violations of the Policy on Student Conduct and Discipline, a student is suspended or dismissed, a notation that the discipline was imposed must be posted on the academic transcript for the duration of the suspension or dismissal. Thereafter, notations of Suspension or Dismissal reflected on a student's transcript may be removed as set forth in campus regulations.

### **106.02**

Records and files related to any student conduct matter are confidential and protected by applicable federal and state laws. Information from these files/records may not be released without the student's written permission, court order, or as provided by this section. Other exceptions include University officials on a "need to know" basis and victims of violent acts who are entitled to know the results of a disciplinary action by the University. The Office of Student Conduct may release data and information relating to conduct hearings as long as the subject of the hearing cannot be personally identified by the information disclosed ([UC PACAOS 130.00](#)).

### **106.04**

Whenever information is included in any student record/file concerning any disciplinary action taken by campus personnel in connection with the student, the student shall be allowed to include in the record/file a written statement or response concerning the disciplinary action ([UC PACAOS 131.40](#)).

### **106.05**

Disciplinary files will be maintained in the Office of Student Conduct for the duration determined by University retention policy (at point of publication: five years (seven years if the incident was also a Clery crime) for any violation resulting in any disciplinary action other than dismissal; and fifty years for any

disciplinary action resulting in dismissal) and then destroyed unless the office determines there is good reason to retain the file beyond that date. If a student who is dismissed has their file destroyed after fifty years, the Registrar will be notified to remove the dismissal notation from the transcript.

#### **106.06**

When potential employers, governmental agencies, or other institutions of higher education solicit information about a student's conduct while attending UCSB, and if the student has signed a release form accompanying the solicitation, the Office of Student Conduct will review the disciplinary files to determine if the student has been found responsible of misconduct. In those cases where the student has such a file, and the sanction was suspension or dismissal, the information will be provided to the requesting party. Sanctions of probation, exclusion, university warning, letter of admonishment, restitution, loss of privileges, or other disciplinary sanction will not be provided to the requesting party as these sanctions are not deemed reportable sanctions.

#### **106.07**

In order to ensure that minor and non-recurring infractions do not negatively impact the student's academic career beyond UCSB, disciplinary files will be reviewed by the committee of jurisdiction in order to determine whether the student's file should be expunged. A student may petition for such review at approximately two years from the date of the imposition of the sanction or upon graduation from the University, whichever comes first. A sanction must be completed by the student prior to submitting a request for expungement for committee review.

To submit a petition, the student must write a letter of request for their disciplinary record to be expunged to the committee of jurisdiction, indicating their request and any explanation as to why the committee should consider approving their request. If a student's conduct record is expunged by the committee, the violation and sanctions will no longer be reported when the student has authorized a release of their conduct record, however the file will remain in the Office of Student Conduct until it reaches its retention period (see E. above). Expungement requests for infractions that resulted in a dismissal from the University of California will not be reviewed by the committee. The process for readmission for dismissed students is described in 105.06.

### **107.00 INTERIM ACTION**

Exclusion from classes, or from other specified activities or areas of the campus, as set forth in the Notice of Interim Actions, before final determination of an alleged violation. A student shall be restricted only to the minimum extent necessary when there is reasonable cause to believe that the student's participation in University activities or presence at specified areas of the campus will lead to physical abuse, threats of violence, or conduct that threatens the health or safety of any person on University property or at official University functions, or other disruptive activity incompatible with the orderly operation of the campus. A student placed on Interim Action shall be given prompt notice of the charges, the duration of the Interim Action, and the opportunity for a prompt hearing on the Interim Action. Interim Action shall be reviewed by the Chancellor within twenty-four hours. If a student is found to have been unjustifiably placed on Interim Action, the University is committed to a policy whereby reasonable efforts are taken to assist an individual who has been disadvantaged with respect to employment or academic status.